

## **Recruitment and Selection Procedure**

# **Section 1 - Summary**

(1) This Procedure sets out the process for recruiting, selecting and appointing staff at Victoria University (VU), and must be read in conjunction with the <u>Recruitment and Selection Policy</u>.

# **Section 2 - Scope**

- (2) This Procedure applies to the recruitment and selection of all continuing, fixed-term, casual and sessional employees, and contingent labour hire appointments at Victoria University.
- (3) This Procedure does not apply to:
  - a. honorary, adjunct or visiting teaching appointments (unless otherwise engaged as employees); and/or
  - b. the engagement of independent contractors.

# **Section 3 - Policy/Regulation**

(4) Recruitment and Selection Policy

## **Section 4 - Procedures**

## Part A - Summary of Roles and Responsibilities

Roles	Responsibilities
Chair of Selection Panel/Hiring Manager	Lead the interview, selection, and decision-making process.  Ensure that recruitment and record keeping practices as outlined in this procedure are followed.  Ensure fairness and compliance through an unbiased process that is compliant with university policies and employment laws.  Declare and/or address any potential conflicts of interest among panel members, or any person in a recruitment, selection or approval decision making roles as outlined in the Conflict of Interest Procedure.
Selection Panel Member	Support the Chair of the Selection Panel with establishing criteria for assessing candidates, shortlisting applications, and coordinating interviews.  Declare any conflict of interest where it may be determined they have an interest or influence in the recruitment or selection outcome for the consideration of the panel chair as outlined in the Conflict of Interest Procedure.
People and Culture	Provide guidance, process support and advice relating to recruitment and selection process and procedure.

Roles	Responsibilities
Director, People Experience and Services	Ensure the integrity of the recruitment and selection processes.  Serve as the point of contact for formal complaints and oversee investigations to ensure they are handled impartially and thoroughly.  Recommend corrective actions or adjustments based on the findings of the investigation to address the complaint.
Chief Human Resources Officer or delegate	Review and approve corrective actions or adjustments based on the findings of the investigation to address the complaint.

## Part B - Diversity and Equal Opportunity

- (5) When preparing to recruit, or as part of broader workforce planning initiatives, Hiring Managers must implement inclusive recruitment practices and programs for special measures that consider the University's aspirations to:
  - a. increase the number of women in senior positions (Academic D & E and senior professional staff)
  - b. represent the LGBTIQA+ and gender diverse community
  - c. increase Aboriginal and Torres Strait Islander workforce representation
  - d. build and maintain a culturally diverse workforce representative of the community we serve
  - e. increase representation of people with accessibility needs, to reflect all abilities within society
- (6) Where applicable, a targeted search may be implemented to identify applicants in under-represented groups in the work area but who may be able to meet the selection criteria.
- (7) VU is committed to making reasonable adjustments to provide a positive, barrier-free recruitment process for candidates with particular support or access requirements. Candidates are encouraged to advise VU at the time of application of any adjustments required to enable them to fully participate. The Hiring Manager, with the assistance of People and Culture, will make adjustments to assist the candidate through the recruitment process.

## Part C - Before recruiting

### **Undertake Workforce Planning and Assess Needs**

- (8) Before starting the recruitment process, hiring managers are:
  - a. required to obtain approval from their immediate supervisor;
  - b. encouraged to schedule discussion with their People and Culture Business Partners to complete a needs assessment and discuss the workforce plan for their team;
  - c. required to draft a position description for any new positions, review and update as required position description for existing roles. A duty statement may be developed for Academic Sessional appointments only.

### Part D - Recruitment and Selection Processes

#### Merit Based Recruitment and Selection

- (9) It is expected that all University positions will be filled through a merit-based recruitment and selection process in line with the <u>Recruitment and Selection Policy</u>.
- (10) The minimum requirement is for all vacant positions to be internally advertised for 7 working days through the University's career site. This should occur either prior to, or in parallel to, any external advertising.

- (11) A merit-based recruitment and selection requires:
  - a. A competitive selection process with clear and transparent criteria used to assess individual applications.
  - b. A formal interview and selection process supported by a selection panel.
  - c. Appointment decisions are based on measurable evidence, including an evaluation system for assessing candidates against the selection criteria for the role, the job description and competencies required.
- (12) Vacancies identified through Organisational Change initiatives may be exempt from a merit-based recruitment and selection process. These vacancies will be limited in the first instance as a direct transfer or redeployment opportunity to impacted staff members.

#### **Direct Recruitment and Selection Process**

- (13) A direct recruitment and selection process should only be undertaken by exception and approval sought in accordance with VU's <u>Delegations and Authorisations Policy</u>.
- (14) For positions funded via Continuing Contingent Funding (i.e., where the position is funded by, and tied to, a specific external funding grant) the recruiter will advise whether a particular position meets the criteria for a direct appointment.
- (15) Before making a direct appointment, the candidate must be assessed, and all reference and pre-employment checks are completed by the Hiring Manager to ensure the candidate meets the role's requirements.
- (16) The Hiring Manager must advise the appropriate People and Culture Advisor of their intention to make a direct appointment.
- (17) The Hiring Manager must advise the appropriate People and Culture Advisor that a direct appointment is going to be made and to whom; and advise of any actual, potential, or perceived conflicts of interest the Hiring Manager may have with the candidate prior to any offers being made. Where there is a conflict of interest, a risk assessment and merit-based selection process must be considered.
- (18) Positions directly recruited by the Vice-Chancellor, or a delegated officer may:
  - a. Offer employment to anyone based on their expertise, credentials, and/or experience where the Vice-Chancellor or their delegated officer is satisfied that the appointment will assist the University in achieving its overall strategic objectives.
  - b. The appointee must meet or exceed the selection criteria for the position, with supporting evidence provided to the Recruitment team.

### **Expression of Interest (EOI) Recruitment and Selection Process**

- (19) An Expression of Interest (EOI) recruitment and selection process is used where a pool of candidates has been identified for a vacant role, and the role is required to be filled for a limited period.
- (20) The Recruitment team can provide advice on the requirements of running an EOI process.
- (21) An EOI recruitment and selection process can be undertaken where:
  - a. Approval has been provided for a vacancy to be filled from a closed pool (e.g., fixed term academic leadership roles, which are sourced from the existing pool of academics in the relevant College).
  - b. A requirement to back fill short-term vacancies where the incumbent is on secondment or extended leave, for example.

- (22) This process does not replace a merit-based selection and recruitment process.
- (23) A formal interview and selection process must be undertaken where a role is being advertised via an Expression of Interest process.
- (24) The panel must consist of two selection panel members and gender balance is required. All applicants/candidates must be assessed against the selection criteria of the role. Reference checks will not be required.

### **Labour Hire Appointments**

- (25) The use of preferred supplier labour hire organisations to appoint staff, should be minimal across the University, and only considered for the recruitment of highly specialised roles and/or senior roles; and only after other avenues of recruitment have been exhausted.
- (26) Hiring Managers must not directly engage with any labour hire organisation (or request candidate applications) prior to speaking to the recruitment team and ensure they obtain the necessary approvals.
- (27) Hiring Managers may only engage with suppliers in accordance with the VU Purchasing Policy.
- (28) The University is required to comply with the 'Same job, Same pay' framework which has been designed to ensure external contractors and labour hire workers receive the equivalent pay as ongoing employees of the organisation where the work is being performed. In the context of the Higher Education sector, this may extend to private Colleges or businesses engaged to deliver teaching, develop content, or perform work at the University.

### **Part E - Recruitment Requisition**

(29) The hiring manager must submit a job requisition in the VU People First system and have this approved in accordance with the <u>Delegations and Authorisations Policy</u> before starting the recruitment and selection process. The requisition must include the reason for the recruitment, the evaluated position description, and the job advert.

## Part F - Advertising

- (30) All University positions should be advertised to ensure that roles are filled through transparent selection processes.
- (31) The recommended advertising period is two weeks for external adverts and 7 working days for internal adverts only.
- (32) Job adverts must:
  - a. comply with all State and Federal legislation which prohibits discrimination based on a number of protected attributes or personal characteristics
  - b. not include any pay rates breach under the Fair Work Act or any industrial instruments, such as enterprise agreements or the relevant award.
  - c. state any requirement for essential qualifications, or pre-employment checks (e.g., Working with Children Check Police Check or other essential licence/registration or background check).
  - d. comply with University Branding.
- (33) Candidates may be contacted and interviewed throughout the advertising period to expedite decision-making.

### **Part G - Selection procedures**

### **Conflicts of Interest and the Selection Process**

- (34) Any staff member participating in a recruitment and selection process including but not limited to the Chair of the selection panel, Hiring Manager and Selection panel members must declare any actual or perceived conflicts of interest that could improperly influence, or be seen to influence, their decisions or actions in the recruitment outcome as outlined in the Conflict of Interest Policy.
- (35) Conflicts of interest must be declared to the Chair of the Panel immediately as they are known. At the commencement of each meeting of the Selection Panel, the Chair of the Selection Panel must in addition ensure that each member of the Selection Panel (including the Chair) declares whether or not they have any perceived, potential or actual conflict of interest. The Chair of the Selection Panel may seek a replacement Panel member at their discretion following consideration of the conflict of interest and all relevant risks and issues.
- (36) In the case of the Chair of the Selection Panel declaring a perceived, potential, or actual conflict of interest, this should be declared to their Delegated Officer (as appropriate). The Chair should step down from the Selection Panel and nominate a suitable delegate.
- (37) A Delegated Officer (including any person involved in the making of a recruitment and selection decision) must not exercise delegated authority in relation to a proposed appointment of a family member or any other person with whom they have a close personal relationship to any position in the University, including but not limited to a direct appointment, casual or sessional position, and must refer the appointment to another authorised officer to ensure a risk assessment is undertaken.
- (38) Successful applicants must not be employed in a position where a relative or close associate directly or indirectly influences that position. If a staff member believes this is likely to occur, it must be raised immediately with the relevant Department Head or Portfolio leader to assess the level of conflict of interest.

#### **Selection Panel**

- (39) At least one Panel Member on a selection panel must be external to the immediate organisational unit. At a minimum, the external Panel Member must not fall within the same direct management reporting line and must be considered by the Chair of the Selection Panel as being sufficiently removed to provide independent evaluation and perspective to the deliberations of the Panel.
- (40) Consideration should also be given to diversity when constituting a selection panel, including gender and cultural diversity. A gender balanced panel is achieved where people of one gender do not constitute more than two thirds of the panel's membership.
- (41) Chairs of Selection Panels are usually the Hiring Manager for the position being recruited, or their nominee (at an equivalent level).
- (42) A majority of Panel Members should be at or above the same classification level as the position being recruited.
- (43) The Selection Panel must ensure that:
  - a. applicants are advised of a timeframe for decision making,
  - b. reference checking is conducted for all positions and any pre-employment checks (prior to making an offer of employment) must be carried out (see <u>Pre-employment screening checks reference guide</u>);
  - c. sufficient information to support the decision/s taken by the Selection Panel is included on the Selection Report and uploaded into VU People First.

- (44) The Chair of the Selection Panel has the authority to alter the composition of the selection panel before the selection process begins:
  - a. where a conflict of interest is declared at or prior to the first meeting of the panel, or
  - b. where specialist knowledge or expertise is required, or
  - c. where involving a particular person on the panel will add value to the selection process.
  - d. where a panel decision is not made by consensus, a majority vote will determine the outcome. Where a panel is tied, the Chair will cast the deciding vote based on all the evaluation data.
- (45) Interviewed candidates who are not successful in moving forward will receive their outcome and contact details of the Chair should they choose to seek feedback on their performance.

### Panels for Aboriginal and Torres Strait Islander applicants and shortlists

- (46) Where an application is received from an Aboriginal & Torres Strait Islander candidate, the Executive Director, Moondani Balluk must be advised. The Executive Director, Moondani Balluk will recommend whether they or a representative should be included on the Panel.
- (47) Where a position has been identified as an Aboriginal position and advertised as such, at least one member of the panel must be Aboriginal but ideally 50% of the Selection Panel should be Aboriginal. If only one member of the panel is Aboriginal, that panel member will also hold the deciding vote if a preferred candidate is not agreed to.

#### **Selection Activities**

- (48) It is recommended that the Selection Panel use a variety of candidate assessment tools to gain a full picture of applicants' suitability for the role. The Recruitment team can advise on appropriate selection activities.
- (49) Activities may include, but not limited to:
  - a. Responses to technical, behavioural or qualifying questions at the screening stage prior to the interview
  - b. Pre-Screening interviews via Phone or Video
  - c. Formal Interview
  - d. Second interview (with new panel or senior leader)
  - e. Provision of work samples
  - f. Case studies either in advance or shortly before the interview
  - g. Presentation
  - h. Work activity / simulation
  - i. Psychometric testing to assess competency
  - j. Reference checks
  - k. Responses to pertinent questions provided before the interview

### **Candidate applications**

#### **Shortlisting**

- (50) Applications for positions will be assessed by the Selection Panel to determine a shortlist of candidates to consider for a position.
- (51) A simple scoring method may be used, against an agreed rubric for the position, where applicants are assessed as A, B or C:

- a. A = Meets all of the position requirements
- b. B = Might meet the position requirements
- c. C = No evidence of meeting position requirements
- (52) Candidates assessed as A. may be interviewed; B. followed up with a phone call to explore background and experience further, and/or may be held as back-ups after initial A. candidates have been interviewed; and C. are not considered further.
- (53) Once the shortlist and the Interview Details are finalised and sent to the Recruiter, the Recruiter will contact the shortlisted candidates to arrange the interviews. Once all candidates have confirmed attendance, the panel will be sent the confirmed interview schedule. Hiring managers can opt to organise the interviews themselves but they need to advise the Recruiter of the list of shortlisted candidates, in order to ensure timely communication with applicants as required.

### **Interviews**

- (54) The selection panel must use an interview guide when conducting interviews with candidates.
- (55) The Chair of the selection panel is responsible for creating the guide.
- (56) VU recommends the use of behavioural interview questions in recruitment.
- (57) There are sample questions on the <u>Recruitment SharePoint site</u>, an explanation of the purpose and function of behavioural questions, and key questions that must be included if the position is one that requires a Working with Children Check (WWCC).

### **Psychometric testing**

- (58) Psychometric testing may be used at the discretion of the Hiring Manager. It can be used for the top one to two candidates to assist with final decision-making, as it helps to assess behaviours, capabilities and skills and can assist with motivational fit.
- (59) Psychometric testing can be organised by contacting the Recruitment team. Costs incurred for psychometric testing are charged to the relevant department.
- (60) The outcomes of psychometric testing must always be kept strictly confidential and may only be used for the recruitment process for which they are conducted (i.e. may not be referred to in subsequent applications for various positions by the candidate).

## **Part H - Pre-employment Checks**

- (61) No offer of employment may be made without satisfactory completion of all required pre-employment screening checks.
- (62) Refer to the <u>Pre-employment screening checks reference guide</u> for checks routinely performed by VU. Other pre-employment checks may also be performed if mandatory for the role.
- (63) VU's intention to perform pre-employment screening checks should be made clear to applicants at advertisement and interview stages, particularly where specific licensing or registration requirements exist for the position. The specific pre-employment checks to be performed by VU in respect of the position must be notified to the successful candidate.

### Reference checking

- (64) A minimum of two reference checks must be undertaken by panel members prior to any offer being made to external candidates.
- (65) Where an internal VU employee has applied for a role the selection panel may request one reference to be given should they wish to acquire further evidence that the internal employee is suitable to undertake the roles' requirements in comparison to other interviewed candidates for the role.
- (66) Reference check discussions must be documented in VU People First.
- (67) Prior to conducting any reference checks, the Selection Panel Chair must ensure they have explicit approval from the candidate to contact referees, even where referees have been listed in a candidate's application.
- (68) The Selection Panel Chair, at their discretion, can request further or alternate referees from a candidate in order to ensure the Panel is able to make a fair and reasonable assessment of the candidate against the position requirements.
- (69) Referees should normally be briefed and/or supplied with a copy of the Position Description by the candidate to assist them to understand the position and respond in relation to the criteria in the areas in which they are competent to comment.
- (70) A member of a Selection Panel cannot fulfil the role of referee for a candidate.

## Part I - Informing candidates of outcomes

- (71) The Chair of the Selection Panel (and/or delegated nominee on the Panel) is authorised to provide feedback to unsuccessful applicants.
- (72) The Recruitment team, when authorised by the Chair of the Panel, will send a written notification via email as to the candidate's unsuccessful outcome. It is recommended that the Chair of the Selection Panel (and/or nominee on the Panel) give brief verbal feedback to unsuccessful external candidates who attend interviews in cases where it is sought.
- (73) Internal applicants should receive an offer of feedback, which, if accepted, may help inform the employee's VU Development Plan.
- (74) More guidance on how to approach providing feedback to candidates is available from People and Culture Advisors.
- (75) Where no suitable applicants are found, discussions with People and Culture should occur to decide an appropriate course of action which may include re-advertisement.

## Part J - Making an offer

- (76) Once a successful candidate has been identified through the selection process, prior to an offer being communicated, the offer must first be submitted and approved in VU People First by the Chair of the Panel.
- (77) There is no capacity to make or approve an offer more than the top of the classified level / band.
- (78) Occasionally it may be necessary to offer a salary loading to secure a particularly high calibre candidate or in instances where the university's offer is under the market rate for the role. Any proposal to offer a candidate a loading must be discussed with the People & Culture Advisor and approval must be re-sought via VU People First (original approvers) with a justification provided on why the additional loading must be offered.

- (79) For senior roles, the Director, Capability and Culture will provide a remuneration range at the start of the recruitment process and must be consulted prior to any changes to the remuneration package being offered prior to the verbal offer being made.
- (80) Once the offer has been approved in VU People First, a verbal offer may be made by the Chair of the Selection Panel.
- (81) Under no circumstances can a new staff member perform paid work in any capacity for VU without a valid employment contract or an Independent Contractor Agreement (if applicable) in place and processed. If this occurs there could be serious consequences for the University; and the staff member may not be paid on time.
- (82) When the contract of employment is provided to the new staff member, information must also be provided regarding:
  - a. Reporting arrangements and first day instructions.
  - b. Hours and location of work.
  - c. Documents required to be provided (e.g. proof of citizenship or visa status, required licenses, registrations or checks, qualifications) and the date by which they must be provided.

### Part K - Record keeping

- (83) Documentation in relation to selection activities must be managed in accordance with the University's <u>Records</u> <u>Management Policy</u>, <u>Privacy Policy</u> and relevant legislation.
- (84) Certain Recruitment and Selection records may be subject to Freedom of Information legislation and the University may be required to support its decisions in the event of litigation or an Adverse Action claim made by a prospective, current or past employee under the <u>Fair Work Act 2009 (Cth)</u>.

## **Part L - Complaints**

- (85) Complaints about any Recruitment and Selection process may be made in writing to the Director, People Experience and Services in People and Culture.
- (86) The Director, People Experience and Services will review any process about which a complaint is made to determine:
  - a. if the Recruitment and Selection Policy and Procedure were appropriately followed;
  - b. if the process was applied in a fair, equitable, accessible and non-discriminatory fashion; and
  - c. if there was any conflict of interest on behalf of Panel members which was undeclared or not managed.

# **Section 5 - HESF/ASQA/ESOS Alignment**

- (87) HESF: Standard 2.2 Diversity and Equity; 3.2 Staffing
- (88) Outcome Standards for NVR Registered Training Organisations 2025: Standard Standard 3.2-3.3 Trainor and Assessor Competancies; 4.1 Leadership and Accountability.

## **Section 6 - Definitions**

- (89) Aboriginal
- (90) Appointment: The process by which a person is offered and accepts a position.
- (91) Conflict of Interest: Occurs when a person in a recruitment, selection or approval decision making role has an interest in the outcome (separate from their professional role), and which may appear to an independent observer to be sufficient to influence the objective exercise of their professional obligations or official duties.
- (92) Consultant: Individuals or organisations engaged to:
  - a. provide expert analysis and advice which facilitates decision-making;
  - b. perform a specific one-off task or set of tasks; and,
  - c. perform a task involving skills or perspectives which would not normally be expected to reside within the University.
- (93) Contingent Labour Hire: Where a person is employed and paid through a labor hire organisation to occupy an established position within the organisation. They perform the work of the University in accordance with relevant policies and procedures.
- (94) Continuing Contingent Funding: Is limited term funding provided by external sources (but not funding that is part of an operating grant from the government or funding comprised of payments of fees made by or on behalf of students).
- (95) Contractors: Individuals or organisations engaged to:
  - a. provide services which implement a decision;
  - b. perform all or part of a new or existing ongoing function to assist an organisational unit in undertaking its defined activities, operational functions and information systems and processes to perform a function involving skills or perspectives which would not normally be expected to reside within the organisational unit and which the organisational unit has therefore determined to outsource.
- (96) Direct Appointment: The process where an individual is appointed without a competitive process.
- (97) Delegated Officer: An incumbent officer who holds a delegated authority within the <u>Delegations and Authorisations Policy</u>.
- (98) Expression of Interest (EOI): A process used to advertise roles internally to be filled for a limited period. Roles are advertised to a closed pool.
- (99) Independent Contractor: Includes both consultants and contractors. They are not employees of the University.
- (100) Merit-based Appointment: Where a competitive selection process is followed, and clear and transparent criteria is used to assess individual applications.
- (101) Redeployment: The process of transferring/relocating a staff member whose role has been declared redundant to alternative employment within the University.
- (102) Screening: The process of evaluating applications received to narrow down which ones meet the qualifications and requirements for the position.

### (103) Student

(104) Student as Staff: Refers to a student that is employed on a casual basis to perform specific tasks or services whilst they are enrolled to study in a course at the University.

(105) Targeted search – A tool under Special Measures of the Victorian Equal Employment Opportunity Act 2010 to promote substantive equality for a group of people who have one (or more) protected attributes using targeted search or recruitment.

#### **Status and Details**

Status	Current
Effective Date	19th December 2024
Review Date	19th December 2027
Approval Authority	Vice-Chancellor
Approval Date	19th December 2024
Expiry Date	Not Applicable
Accountable Officer	Lisa Line Deputy Vice-Chancellor Enterprise and Digital Lisa.Line@vu.edu.au
Responsible Officer	Simone Wright Chief Human Resources Officer +61 3 9919 5447
Enquiries Contact	Charlotte Cameron Director, People Experience and Services +61 3 9919 5372

### **Glossary Terms and Definitions**

"Student" - - a person enrolled at the University in a course leading to a degree, diploma, certificate, licence or other award; or - a person whose study performance is being or is to be assessed by the University, notwithstanding that such a person is not enrolled at the University in a course leading to a degree, diploma, certificate, licence or other award. (The above definition of student is from section 3 of the Victoria University Act 2010 and Council Resolution C2010 - 070).

"**Aboriginal**" - The use of the word Aboriginal throughout VU Policy and Procedure refers to Aboriginal and Torres Strait Islander people connected to and/or residing in South East Australia.